

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dolph : Group Art Unit:
: Intellectual Property
Serial No: : Law Department
: International Business
Filed: : Machines Corporation
: 11400 Burnet Road
Title: Targeted Marketing : Austin, Texas 78758
Overlays for Digital Video
Recorders

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313
Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

PATENT

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink that reads "David Mims, Jr." with a stylized flourish at the end.

David A. Mims, Jr.

Attorney

Registration No. 32,708

(512) 823-0950

In Place of FORM PTO-1449 (Modified)

Serial No. _____

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

Applicant: Dolph

Filing Date: _____

Group: _____

Atty. Docket No. AUS920040046US1

Reference Designation

U. S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
_____	AA 6,512,551	01/2003	Lund	348	564	
_____	AB 6,477,508	11/2002	Lazar et al.	705	26	
_____	AC 6,396,473	05/2002	Callahan et al.	345	113	
_____	AD 5,957,695	09/1999	Redford et al.	434	307	
_____	AE 5,548,340	08/1996	Bertram	348	559	
_____	AF 5,151,788	09/1992	Blum	358	188	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
_____	AA					

OTHER ART (Including Author, Title,

Date, Pertinent Pages, Etc.)

Examiner

Initial

_____ AC

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.